



Peter E. Borkon

PARTNER

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Years of Experience

22

Bar Admissions

California

Illinois

Court Admissions

Supreme Court of the United States

Supreme Court of California

Supreme Court of Illinois

U.S. District Court for the Northern District of California

U.S. District Court for the Central District of California

U.S. District Court for the Northern District of Illinois

U.S. District Court of Colorado

U.S. District Court for the Eastern District of Wisconsin

U.S. District Court for the Western District of Wisconsin

U.S. Court of Appeals, Ninth Circuit

U.S. Court of Appeals, Third Circuit

Providing institutional investors practical advice and solutions.

Click for more information:

Current Role



- Partner, Hagens Berman Sobol Shapiro
- Practice is focused on complex civil litigation, particularly securities and antitrust class actions and shareholder derivative suits

Experience

- Clerk, Chief Judge of the Southern District of Illinois
- Staff Attorney, Ninth Circuit Court of Appeals
- Adjunct Professor at the University of California Hastings College of Law

Recent Success

- Key team member in *In re Homestore Securities Litigation* (more than \$100 million settlement)
- Team member in several securities class actions including:
 - *In re Charles Schwab Corp. Securities Litigation* (\$235 million settlement)
 - *In re China Media Express Holdings, Inc. Securities Litigation* (\$12 million settlement)
 - *In re Northwest Biotherapeutics Securities Litigation* (\$1 million settlement)
 - *In re BigBand Networks Securities Litigation* (\$11 million settlement)
 - *In re Reserve YieldPlus Fund Securities Litigation* (\$5.75 million settlement)
 - *In re JP Morgan Madoff Litigation* (\$218 million settlement)
 - *In re Oppenheimer Core and Champion Bond Funds* (\$100 million settlement)

Recognition

- Northern California Rising Star, *Super Lawyers Magazine*, 2010 - 2011
- Super Lawyer, *Super Lawyers Magazine*, 2012, 2015 - 2017
- Steinberg Leadership Fellow with the Anti-Defamation League

Legal Activities

- Director, U.S. District Court for the Northern District of California's Federal Practice Program Board (2015 - 2018)
- Member, Ninth Circuit Lawyer Representatives Committee for the Northern District of California (2015 - 2018)
- Co-Chair, Ninth Circuit Lawyer Representatives for the Northern District of California (2016 - 2017)
- Member, Council of Institutional Investors (CII)
- Member and Speaker, Michigan Association of Public Employee Retirement Systems (MAPERS)



- Member, State Association of County Retirement Systems (SACRS)
- Member, California Association of Public Retirement Systems (CALAPRS)
- Member and Speaker, Illinois Public Pension Fund Association (IPPPA)
- Member and Speaker, Georgia Association of Public Pension Trustees (GAPPT)
- Member, Alternative Investments working group, National Association of Public Pension Attorneys (NAPPA)
- Chair, SEC working group, National Association of Public Pension Attorneys (NAPPA)
- Member and Speaker, National Conference on Public Employee Retirement Systems (NCPERS)
- Member, National Association of Securities Professionals (NASP)
- Member, National Council on Teacher Retirement (NCTR)
- Co-Chair of the Board of Directors of the AIDS Legal Referral Panel
- Co-Chair of the Bay Area Lawyers for Individual Freedom's Judiciary Committee
- Trained to serve as a Judge Pro Tem in San Mateo County
- Serves as a Judge Pro Tem in the City and County of San Francisco Superior Court
- Member, Federal Bar Association, Northern District of California Chapter
- Member, Alameda County Bar Association
- Member, Bar Association of San Francisco

Presentations

- "Fiduciary Duties and Lessons From the Recent Lawsuits Against 403(b) Plans," NAPPA, Legal Education Conference, Jun 2017
- "Regulatory Update: Developments from the IRS, DOL and SEC Affecting Governmental Plans," NCPERS, Annual Conference, May 2017
- "The New Normal: Potential Revisions to the Securities Law and Regulations Under the New Administration," NCPERS, Legislative Conference, January 2017
- "Recent Legal Developments - A Panel Discussion," GAPPT, Annual Conference, September 2016
- "Top Ten Practices of High Performing Public Retirement Plan Boards," NCPERS, Public Safety Employees Pension & Benefits Conference, October 2015
- "Fee Shifting, Bylaws and Courts: The Ever-Shrinking World of Investor Protections!," GAPPT, Annual Conference, September 2015
- "Funds, Fees & Affiliates (Oh, My!) - SEC OCIE's Examination of the Private Fund World," NAPPA, 2015 Legal Education Conference, June 2015
- "Securities Litigation: A Panel Discussion," MAPERS, Spring Conference, May 2014
- "Who Wants To Be A Fiduciary?," NCPERS, Trustee Educational Seminar, April 2014
- Annual Securities Litigation & Enforcement 2014 Update Panel Discussion, April 2014



- “A Different Kind of Income Pick-Up Strategy,” CFA Society of New Mexico, December 2013
- “SEC Announces Its ‘Top Priorities’ Include Enforcement Against States Issuing Municipal Bonds; Are County Issuers Next?,” CACTTC, Annual Conference, June 2013
- “Avoiding a Front Page Scandal at Your Pension Fund: Learning by Example,” NCPERS, Annual Conference, May 2013
- Board Ethics Training at the Ohio Police and Fire Pension Fund, April 2013
- “International Investment after Morrison,” GAPPT, Annual Conference, September 2012
- Legal Round Table, MAPERS, Spring Conference, May 2012
- “Opportunities to Recover Fund Assets Using Securities Litigation,” IPPFA, Spring Conference, May 2012
- “The Good, the Bad and the Ugly –The Safety Pension Edition,” NCPERS, TEDS Conference, May 2012
- “Occupy Wall Street through Reform of the Securities Law,” NCPERS, Legislative Conference, February 2012
- “The Good, the Bad and the Ugly – The Safety Pension Edition,” NCPERS, Public Safety Employee Pension & Benefit Conference, October 2011
- “Protection vs. Interference – What the New Federal Regulations Mean to Institutional Investors,” NCPERS, Annual Conference, May 2011
- “The Immediate Need for Congress to Act on Investor Friendly Legislation,” NCPERS, Annual Conference, May 2010

Publications

- "Monterey, California, Location of the 2017 Legal Education Conference," The NAPPA Report, April 2017
- "Post-Morrison: The Global Journey Towards Asset Recovery," Reed R. Kathrein, Peter E. Borkon, Nick S. Singer, contributing members, NAPPA Morrison Working Group, June 2016
- “Omnicare: It’s Not a Lie if I Believe What I Say, Right?,” Hagens Berman, HBSS Securities News, Fall 2015
- "Fasten Your Seatbelts, Supreme Court Creating a Bumpy Ride... or, Is it?," Hagens Berman, HBSS Securities News, Summer 2014
- "SEC’s Message: Bond Issuers Must Provide Full, Accurate and Timely Information About Their Financial Condition or Face Prosecution,” Hagens Berman, HBSS Securities News, November 2013
- “Court Limits SEC’s Foreign Reach,” Hagens Berman, HBSS Securities News, May 2013
- “Living in a Post-Morrison World: How to Protect Your Assets Against Securities Fraud,” Reed R. Kathrein, Peter E. Borkon, contributing members, NAPPA Morrison Working Group, 2012
- “Say-On-Pay – More Bark Than Bite?,” Hagens Berman, HBSS Securities News, November 2012



- “Citizens United and the Assault on Public Pensions,” NCPERS, PERSist Article, Summer 2012, Volume 25, Number 3, August 2012
- “Citizens United and The Assault on Public Pensions, Marin County Association of Retired Employees / A member of CRCEA-California Retired County Employees Association, Keeping in Touch Letter”, June 2012
- “Citizens United and the Assault on Public Pensions,” Hagens Berman, HBSS Securities News, May 2012
- “Investors Need Private Enforcement of Securities Law,” Hagens Berman, HBSS Securities News, November 2011
- “Balancing Sensible Governance Against Failed Principles: Is this the End to the Wild West of Investing?,” NAPPA, The NAPPA Report, October 2008

Education



Southern Illinois University at Carbondale, J.D., 1996



DePauw University, B.A., 1992